

## Page 1 of 12 ATTY. DOCKET NO. 2066.001002 APPLICATION NO. 10/037,916 **FORM PTO-1449** APPLICANT Gilbreth et al. INFORMATION DISCLOSURE STATEMENT FILING DATE January 2, 2002 **GROUP 2834 U.S. PATENT DOCUMENTS EXAMINER** CLASS SUB-CLASS FILING DATE DATE NAME INITIAL DOCUMENT NUMBER 12/1958 Prachar AA1 2,864,244 Smith 3/1964 AB1 3,124,924 5/1966 Dawson AC1 3,250,973 AD1 3,569,809 3/1971 Comer 7/1971 Schonebeck AE1 3,591,844 AF1 3,703,076 11/1972 Hagemeister 4/1973 **Bryant** 3,724,214 AG1 10/1973 Habock et al. AH1 3,764,815 5/1974 Kilgore et al. Al1 3,809,914 Studtmann 8/1974 AJ1 3.829.758 2/1976 Lafuze AK1 3,937,974 **FOREIGN PATENT DOCUMENTS EXAMINER** SUB-CLASS **TRANSLATION** CLASS DOCUMENT DATE COUNTRY INITIAL NUMBER Yes DE 3634328 4/1987 No AL1 8/1998 DE Yes 19704662 No AM1 EΡ Yes 2/1992 0 472 294 No AN1 EΡ Yes 11/1995 0 679 800 No AO1 Yes 0 837 231 4/1998 No AP1 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Japanese Patent Application entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese AR 1 Serial No. 133003/1999. Japanese Patent Application entitled "Command and Control System and Method for Multiple Turbogenerators", AS 1 filed October 27, 1999, Japanese Serial No. 305375/1999. Japanese Patent Application entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial AT 1 No.220231/1998. DATE CONSIDERED 04 **EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

APPLICANT Gilbreth et al.

FILING DATE January 2, 2002

**GROUP 2834** 

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			U.S	S. PATENT DOCUMENTS			
XAMINER NITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
1111	AA2	3,991,357	11/1976	Kaminski			
1/	AB2	4,005,581	2/1977	Aanstad			
	AC2	4,015,187	3/1977	Sasaki et al.			
	AD2	4,039,914	8/1977	Steigerwald et al.		1	
	AE2	4,093,869	6/1978	Hoffmann et al.			<u> </u>
$\overline{}$	AF2	4,119,861	10/1978	Gocho			<u> </u>
7	AG2	4,258,424	3/1981	Giras et al.			
	AH2	4,265,099	5/1981	Johnson et al.			
	Al2	4,292,534	9/1981	Diegel et al.		<u> </u>	
1/	AJ2	4,340,820	7/1982	Meyer-Pittroff et al.		<u> </u>	
V	AK2	4,401,938	8/1983	Cronin			
			FORE	IGN PATENT DOCUMENTS			
XAMINER NITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATI ON
M.F	AL2	0 901 218	3/1999	EP			Yı
7,,	AM2	1453862	4/1965	FR			Ye
)	AN2	2395316	1/1979	FR		-	Ye N
	A02	52-60924	5/1977	JP			Ye N
<u> </u>	AP2	WO 94/27359	11/1994	wo			N N
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Page 3 of 12 ATTY, DOCKET NO. 2066.001002 **APPLICATION NO. 10/037,916 FORM PTO-1449** APPLICANT Gilbreth et al. INFORMATION DISCLOSURE STATEMENT FILING DATE January 2, 2002 **GROUP 2834** U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT NUMBER INITIAL DATE NAME **CLASS** SUB-FILING DATE CLASS 4,442,385 4/1984 AA3 Van Sickle AB3 4,471,229 9/1984 Plohn et al. AC3 4,481,459 11/1984 Mehl et al. AD3 4,498,551 2/1985 Arbisi 11/1985 AE3 4,551,980 **Bronicki** AF3 12/1985 4,560,364 Cohen AG3 4,565,957 1/1986 Gary et al. Radomski AH3 4,684,814 8/1987 AI3 4,709,318 11/1987 Gephart et al. 1/1988 AJ3 4,719,550 Powell et al. AK3 4,730,397 3/1988 Weiford et al. **FOREIGN PATENT DOCUMENTS** EXAMINER INITIAL **DOCUMENT** DATE COUNTRY CLASS SUB-TRANSLATI NUMBER CLASS ÖN WO 98/25014 6/1998 WO Yes AL3 No WO 99/32762 7/1999 WO Yes AM3 No WO 99/52193 10/1999 WO Yes AN3 No WO 00/28191 5/2000 wo Yes AO3 No Yes AP3 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR 3 AS 3 AT <u>3</u> **EXAMINER** DATE CONSIDERED 7 25/04 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

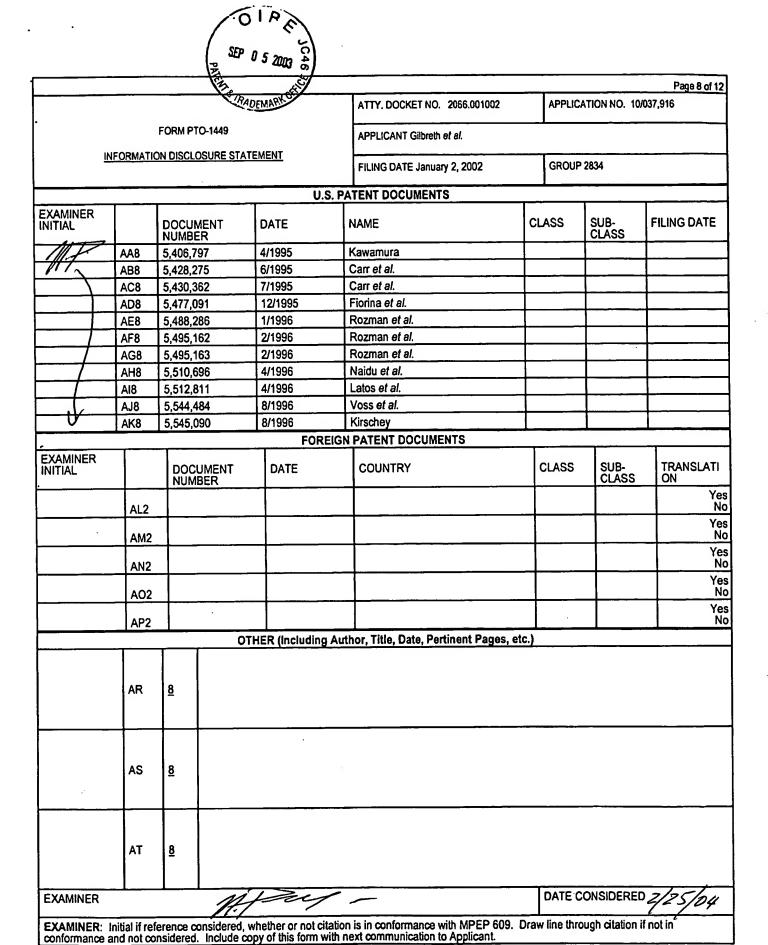


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MI	AA4	4,754,607	7/1988	Mackay				
1/17	AB4	4,757,686	7/1988	Kawamura et al.		7		
	AC4	4,772,802	9/1988	Glennon et al.				
	AD4	4,786,852	11/1988	Cook				
	AE4	4,802,882	2/1989	Heidrich				
	AF4	4,830,412	5/1989	Raad et al.				
	AG4	4,833,887	5/1989	Kawamura et al.				
-	AH4	4,841,216	6/1989	Okada et al.				
	Al4	4,862,009	8/1989	King		+	<del>-  </del>	
			11/1989	Lakey et al.		+		
	AJ4	4,883,973		Cook et al.		+	<del>- </del>	
	AK4	4,908,565	3/1990					
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MI	AA5	4,939,441	7/1990	Dhyanchand			
111	AB5	4,955,199	9/1990	Kawamura			
	AC5	4,967,096	10/1990	Diemer et al.			
	AD5	4,968,926	11/1990	Dhyanchand			
	AE5	4,982,569	1/1991	Bronicki			
	AF5	5,013,929	5/1991	Dhyanchand			
	AG5	5,015,941	5/1991	Dhyanchand			
	AH5	5,029,062	7/1991	Capel			
	AI5	5,038,566	8/1991	Hara			
	AJ5	5,055,764	10/1991	Rozman et al.			
1/	AK5	5,057,763	10/1991	Torii et al.			<u> </u>
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1111	AA6	5	,068,590	11/1991	Glennon et al.					
111	AB6	_	,088,286	2/1992	Muraji			ļ		
	AC6	o	,097,195	3/1992	Raad et al.					
	AD6	5	,115,183	5/1992	Kyoukane et al.					
	AE6	5	,158,504	10/1992	Stocco					
	AF6	5	,191,520	3/1993	Eckersley			<b></b>	ļ	
	AG6	5	,214,371	5/1993	Naidu					
7	AH6	5	,237,260	8/1993	Takakado et al.					
	Al6	15	,250,890	10/1993	Tanamachi et al.					
2/	AJ6	$\overline{}$	5,252,860	10/1993	McCarty et al.					
<del>- V</del>	AK6	_	5,260,637	11/1993	Pizzi					
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1112	AA7	5,281	,905	1/1994	Dhyanchand et al.			
7//	AB7	5,291	,106	3/1994	Murty et al.			
	AC7	5,309	,081	5/1994	Shah et al.			
	AD7	5,325	5,043	6/1994	Parro			
	AE7	5,345	5,154	9/1994	King			
	AF7	5,363	3,032	11/1994	Hanson et al.			
	AG7	5,364	,309	11/1994	Heidrich et al.			
	AH7	5,373	3,195	12/1994	De Doncker et al.			
	AI7	5,381	,081	1/1995	Radun			
	AJ7	5,387	7,859	2/1995	Murugan et al.			<u> </u>
V	AK7	5,404	1,092	4/1995	Gegner		<u> </u>	
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1111	AA9	5,546,742	8/1996	Shekhawat et al.			
777	AB9	5,550,410	8/1996	Titus			
T 1	AC9	5,550,455	8/1996	Baker			
	AD9	5,559,421	9/1996	Miyakawa			
	AE9	5,563,802	10/1996	Plahn et al.			
	AF9	5,568,023	10/1996	Grayer et al.			
	AG9	5,572,108	11/1996	Windes		ļ	
	AH9	5,581,168	12/1996	Rozman et al.			
	Al9	5,587,647	12/1996	Bansal et al.		<b>.</b>	<b></b>
	AJ9	5,594,322	1/1997	Rozman et al.			<del> </del>
V	AK9	5,637,987	6/1997	Fattic et al.	<u></u>	_	<u> </u>
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SEP 0 5 2003 Page 10 of 12 BTRADENA APPLICATION NO. 10/037,916 ATTY, DOCKET NO. 2066.001002 FORM PTO-1449 APPLICANT Gilbreth et al. INFORMATION DISCLOSURE STATEMENT **GROUP 2834** FILING DATE January 2, 2002 U.S. PATENT DOCUMENTS **EXAMINER** SUB-CLASS DOCUMENT NUMBER NAME **CLASS FILING DATE** DATE INITIAL **AA10** 5,646,458 7/1997 Bowyer et al. 8/1997 5,656,915 **Eaves AB10** 4/1998 Jacquet et al. AC10 5,743,227 Lansberry AD10 5,767,637 6/1998 8/1998 Egami et al. AE10 5,789,881 5,799,484 9/1998 Nims AF10 Bosley et al. AG10 5,819,524 10/1998 Barba AH10 5,847,522 12/1998 5/1999 Geis et al. AI10 5,903,116 5/1999 Yamada et al. 5,905,346 **AJ10** AK10 5,929,537 7/1999 Glennon FOREIGN PATENT DOCUMENTS EXAMINER INITIAL SUB-CLASS TRANSLATI **CLASS** DOCUMENT NUMBER DATE COUNTRY ON Yes No AL1 Yes No AM1 Yes No AN1 Yes No A01 Yes No AP1 OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR 10 AS <u>10</u> **AT** <u>10</u> DATE CONSIDERED 2 **EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



Page 11 of 12 **APPLICATION NO. 10/037,916** ATTY. DOCKET NO. 2066.001002 FORM PTO-1449 APPLICANT Gilbreth et al. INFORMATION DISCLOSURE STATEMENT **GROUP 2834** FILING DATE January 2, 2002 **U.S. PATENT DOCUMENTS EXAMINER CLASS** FILING DATE **DOCUMENT** SUB-DATE NAME INITIAL CLASS NUMBER 8/1999 Koide et al. **AA11** 5,936,312 **AB11** 5,939,794 8/1999 Sakai et al. 8/1999 Bruce 5,946,086 AC11 Levran et al. 11/1999 AD11 5,982,645 AE11 5,998,976 12/1999 Steffan 12/1999 Sasaki et al. 6,005,297 AF11 **AG11** 6,020,713 2/2000 Geis et al. Gilbreth et al. 2/2000 **AH11** 6,023,135 2/2000 Geis et al. **AI11** 6,031,294 6,051,951 4/2000 Arai et al. AJ11 4/2000 6,054,776 Sumi **AK11** FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATI **CLASS** Yes Νo AL2 Yes No AM2 Yes No AN2 Yes No AO2 Yes AP2 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR 11 AS <u>11</u> ΑT <u>11</u> DATE CONSIDERED Z **EXAMINER** 104 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

SEP 0 5 2003 Page 12 of 12 2 TRADEM ATTY. DOCKET NO. 2066.001002 **APPLICATION NO. 10/037,916** FORM PTO-1449 APPLICANT Gilbreth et al. INFORMATION DISCLOSURE STATEMENT FILING DATE January 2, 2002 **GROUP 2834 U.S. PATENT DOCUMENTS EXAMINER** SUB-CLASS DOCUMENT DATE INITIAL NAME **CLASS FILING DATE** NUMBER 5/2000 **AA12** 6,064,122 McConnell **AB12** 6,087,734 7/2000 Maeda et al. AC12 6,107,775 8/2000 Rice et al. AD12 McConnell et al. 6,147,414 11/2000 AE12 6,153,942 11/2000 Roseman et al. AF12 1/2001 6,169,332 B1 Taylor et al. AG12 6,169,334 B1 1/2001 Edelman AH12 6,175,210 B1 1/2001 Schwartz et al. AI12 6,194,794 B1 2/2001 Lampe et al. AJ12 6,093,975 7/2000 Peticolas AK12 **FOREIGN PATENT DOCUMENTS** EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-TRANSLATI **CLÁSS** ON Yes AL3 No Yes AM3 No Yes AN3 No Yes AO3 No Yes AP3 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR <u>12</u> AS <u>12</u> ΑT <u>12</u> **EXAMINER** DATE CONSIDERED

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